

Response - Customer profile

(please post the original)

Mediolanum International Funds Limited
 B.P. 2557
 L-1025 Luxembourg

Gamax account number	
First name/surname(1st account holder)	
First name/surname (2nd account holder)	
Street/house no.	
Postal code/city:	

The personal data to be provided in this form is required to comply with the legal obligations in relation to:

a) prevention of the financial system being used for money laundering and terrorist financing purposes [CSSF Circular 12-02 of 14 December 2012].

b) Foreign Account Tax Act (FATCA) and Common Reporting Standard (CRS).

The information provided shall only be used for the purpose for which it was collected [General Data Protection Regulation 96/46/EC as amended]

1. IDENTITY DOCUMENTS

Please submit original certified* and copies of valid identity documents (front and back) of all account holders or legal guardians.

*Original certified copies are prepared by seal-bearing offices and authorities, as well as the notary public and banks. The stamp and signature in the original must be visible. Certification by schools, rectories, church congregations, insurance providers or solicitors cannot be accepted. German customers can also avail of the free Post-Ident process for certification. A simple copy of a valid identity document must be submitted together with the Post-Ident. The relevant coupon is available on our website <http://www.gamaxfunds.com/>.

2. ORIGIN OF THE INVESTOR'S FUNDS/OCCUPATION*

A. OCCUPATION

1. Account holder/guardian:

2. Account holder/guardian:

Profession/occupation

Profession/occupation

Role

Role

e.g. executive board, manager, employee, etc. – This list is not comprehensive and only serves to show examples.

Sector

Sector

e.g. catering, healthcare, retail, etc. – This list is not comprehensive and only serves to show examples.

If you are currently unemployed or retired, please state your prior profession(s)/occupation(s)/role(s), sector and employer in the above fields.

B. DISPOSABLE ANNUAL INCOME

My/our annual disposable net income is approximately (Please tick as applicable):

0 – 24.999 € 25.000 – 49.999 € 50.000 – 99.999 € 100.000 – 149.999 € 150.000 € or more

C. ORIGIN OF THE INVESTOR'S FUNDS

Provision of these details is also mandatory retroactively for existing financial investments.

Savings

Endowment

Rental income

Inheritance

Sales proceeds (Please state details)

Other (Please state details)

* Mediolanum International Funds Limited reserves the right to request additional proof of the origins of the funds.

3. BENEFICIAL OWNERSHIP

I/We declare that I/we am/are the beneficial owner(s) of the assets held in the securities account at present or in the future.

4. PUBLIC OR POLITICAL OFFICE

Do or did you (in the past 12 month) or a member of your family or a person you are close to hold an important public or political office? Examples include: heads of state or government, ministers, attached ministers and secretary of state, parliamentarians, members of the highest courts, members of the administrative, management or supervisory bodies of public enterprises or similar.

1. Account holder/guardian	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, please state the name of the person and the office
2. Account holder/guardian	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, please state the name of the person and the office

5. TAX RESIDENCE

1. Account holder/legal guardian	
Residence	Tax ID number ¹
1	1
2	2

2. Account holder/legal guardian	
Residence	Tax ID number ¹
1	1
2	2

I/We declare that I/we comply with the tax regulations applicable to me/us , and that our assets are declared to the tax authority in accordance with the applicable regulations.

I/We confirm that the countries specified by me/us represent all residences at which I am/we are subject to taxation. Finally, I/we undertake to inform Mediolanum International Funds Limited within 30 days and without prompt about any changes that may result in a change in tax residence(s). If your country does not issue tax ID, please state the information applicable in your country.

¹ Please enter "not available" if the country of tax residence does not issue tax IDs or does not require the disclosure of a tax ID. Please enter "applied for" if you are a newly formed company and have applied for a tax ID (in these circumstances, you are requested to communicate this tax ID within 90 days). A tax ID (tax identification number or TIN) or a functional equivalent should be provided for each country in which you have a tax residence. Functional equivalents include: Social security and insurance number, citizen identification number, personal identification number, service code, domicile number and registration number. For further information, please visit the following website: https://ec.europa.eu/taxation_customs/tin/tinByCountry.html.

6. US STATUS

Definition:

US persons are natural or legal persons, who irrespective of their source of income (i) hold US citizenship , (ii) have their residence in the USA, (iii) hold a Green Card, (iv) spend a period of several consecutive days in the USA within the past three years, fulfilling the Substantial Presence Test, or (v) any incorporated firm, partnership or entity, organised in or according to the laws of the United States of America, or one of its political subdivisions , or any separate estate or trust subject to the federal income tax laws of the United States of America . In particular, this includes all citizens of the USA who fall within the scope of the regulations of the Foreign Account Tax Compliance Act [FATCA] , enacted in March 2010 as part of the Hiring Incentives to Restore Employment [HIRE] Act. Since FATCA came into force on January 1, 2013, unit holders and parties interested in acquiring units must prove that they are not a US person and are not acquiring units in GAMAX FUNDS or one of the funds on behalf of a US person and will not resell these units to US persons, or else show that FATCA does not apply to them.

Please tick either (a) or (b) and complete the fields thereafter:

a) I/We confirm that I/we am/are US persons in accordance with the above definition.

My/our US tax ID (US TIN) is:

b) I/We confirm that I/we am/are not US persons in accordance with the above definition and therefore not liable to taxation in the USA.

7. DECLARATION

I/We confirm that this form – in particular, Parts 5 and 6 – has been completed to the best of my/our knowledge and belief and that the information provided is accurate and complete.

I/We confirm that I/we will immediately send you an updated form if any details should change.

I/We acknowledge that the tax-related information provided in this form may be disclosed to the Luxembourg tax authority or another tax authority authorised under Luxembourg law for tax purposes.

Date	Signature 1st Account holder/guardian	Signature of 2nd Account holder/guardian